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Receipt #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vlad J. Novotny  
Assignee: Active Optical Networks, Inc.  
Title: "Pattern-Transfer Process for Forming Micro-Electro-Mechanical Structures"

Serial No.: 10/028,657 Filed: December 21, 2001  
Examiner: Tel:  
Docket No.: AO-001-1D Art Unit: 2874

Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

February 26, 2002

REQUEST FOR CORRECTION TO FILING RECEIPT

Sir/Madam:

Enclosed please find a copy of the Filing Receipt (confirmation number 2712) for the above-identified application. The Domestic Priority data as claimed by applicant only shows the benefit of one prior application. Please add application No. 09/865,981, filed 05/24/01, and application No. 10/032,198, filed 12/20/01 as noted in red on the attached sheet.

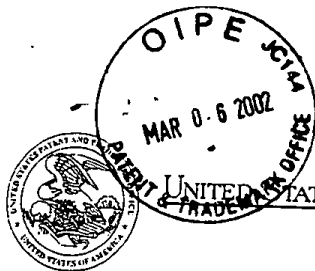
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Washington, D.C. 20231, on February 26, 2002.

2.26.02  
Date

Laurie Moreno

Respectfully submitted,

Arthur J. Behiel  
Attorney for Applicants  
Reg. No. 39,603



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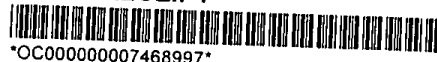
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	APP DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/028,657	12/21/2001	2874	370	AO-001-1D	27	18	3

27946  
ARTHUR J. BEHIEL  
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CONFIRMATION NO. 2712

FILING RECEIPT



\*OC000000007468997\*

Date Mailed: 02/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Vlad J. Novotny, Los Gatos, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/206,744 05/24/2000

**Foreign Applications**

04/805,981 5/24/01 and 10/232,198 12/21/01

If Required, Foreign Filing License Granted 02/13/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

Pattern-transfer process for forming micro-electro-mechanical structures

**Preliminary Class**

385

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